

11/29/01
J1130 U.S. PTO

09566160

Transmittal Form w/o Declaration

| | | |
|---|--|-------------|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. MAM-007 | Total Pages |
| | First named Inventor or Application Identifier Hiromasa YAGI | |
| | Title of Invention METHOD FOR FABRICATING ELECTRODE FOR LITHIUM SECONDARY BATTERY | |

11/29/01
09566160
U.S. PTO

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>26</u>]</p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets <u>5</u>]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total pages <u>1</u>]</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies <p>ACCOMPANYING APPLICATION PARTS</p> <p>8. <input type="checkbox"/> Assignment papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee)</p> <ul style="list-style-type: none"><input type="checkbox"/> Power of Attorney <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449</p> <ul style="list-style-type: none"><input type="checkbox"/> Copies of IDS Citations (* docs) <p>12. <input type="checkbox"/> Preliminary Amendment with Version with Markings to Show Changes Made</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status</p> <ul style="list-style-type: none"><input type="checkbox"/> Assertion filed in prior application, status still proper and desired <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Documents</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> Priority of application Nos. 2000-366876 and 2001-008692, filed on December 1, 2000, and January 17, 2001, respectively, in Japan is claimed under 35 USC 119.<input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*. <p>16. <input type="checkbox"/> Other: *</p> |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in part (CIP)

of prior application No.:

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 020374 or ☐ Correspondence address below

| | | | | | |
|-------------|-----------------------|-------|--------------|----------|--------------|
| NAME | KUBOVCIK & KUBOVCIK | | | | |
| ADDRESS | 900 17th Street, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20006 |
| FILING DATE | November 29, 2001 | TEL | 202-887-9023 | FAX | 202-887-9093 |

The PTO did not receive the following item(s):

FEE TRANSMITTAL

Note: Effective October 1, 2001


| | |
|------------------------|-------------------|
| Application Number | 09/ |
| Filing Date | November 29, 2001 |
| First Named Inventor | Hiromasa YAGI |
| Group Art Unit | Not Assigned |
| Examiner Name | Not Assigned |
| Attorney Docket Number | MAM-007 |

| CLAIMS AS FILED-PART 1 | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | |
|--|--------------|--------------|--------------|----------|-------------------------|----------|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE (37 CFR 1.16 (a)) | | | | \$370.00 | | \$740.00 |
| TOTAL CLAIMS (37 CFR 1.16 (c)) | 13 - 20 = | | \$9.00 | | \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16 (B)) | 2 - 3 = | | \$42.00 | | \$84.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) | | | \$140.00 | | \$280.00 | |
| | | | SUB TOTAL | | SUB TOTAL | \$740.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION | | | \$65.00 | | \$130.00 | |
| RECORDING ASSIGNMENT | | | \$40.00 | | \$40.00 | |
| TOTAL | | | | \$0.00 | | \$740.00 |

METHOD OF PAYMENT

Payment Deferred

SIGNATURE OF ATTORNEY, OR AGENT

| | | | |
|-----------|---|------------------|--|
| NAME | Keiko Tanaka Kubovcik | REGISTRATION No. | 40,428 |
| SIGNATURE |  | ADDRESS | KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006 |
| | | TELEPHONE | 202-887-9023 |
| DATE | November 29, 2001 | FAX | 202-887-9093 |

KTK/emd